



Get Certified

Your guide to the MSC and ASC Chain of Custody certification process

Consumer-Facing Organisation version

For retailers, restaurants, caterers and fresh fish counters of any size looking to sell MSC or ASC certified seafood directly to final consumers.

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Welcome

Join an international community that proudly supports sustainable certified seafood.

Our Chain of Custody Standard makes sure MSC and ASC labelled seafood comes from fisheries certified to the Marine Stewardship Council (MSC) Fisheries Standard or farms certified to the Aquaculture Stewardship Council (ASC) Farm Standard. Together we can protect the world's seafood for the future.

This guide covers the Chain of Custody Standard: Consumer-Facing Organisation Version, for businesses selling direct to consumers.

The aim of the guide is to give you an overview of what to expect during each stage of the certification process.

If you have questions at any stage, your local MSC or ASC office will be happy to help.

Contact your local MSC office at

✉ msc.org/commercial-contacts

Contact your local ASC office at

✉ asc-aqua.org/what-you-can-do/get-certified/chain-of-custody

THE CHAIN OF CUSTODY STANDARD: GROUP VERSION HAS FIVE PRINCIPLES



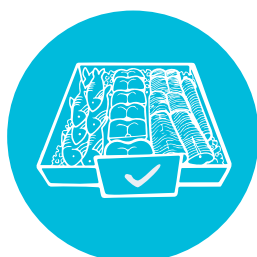
Principle 1:

Companies must purchase certified product from a certified supplier



Principle 2:

Certified products are clearly identifiable



Principle 3:

Certified products are separated from non-certified



Principle 4:

Certified products are traceable and volumes are recorded



Principle 5:

Your management system addresses the requirements of the Chain of Custody Standard

Do you need certification?

The Chain of Custody Standard documents legal ownership of a product and defines required practices for handling certified seafood like segregation, labelling, and maintaining traceability records. It is applicable to all businesses from a certified fishery or farm to final sale.

The Chain of Custody Standard has three versions to meet the needs of the diverse range of supply chain businesses. This guide relates to the **Consumer-Facing Organisation version** of the Standard, which has been designed for companies at the end of the supply chain. You can find out about the other two versions of the standard (Default and Group) on the [MSC website](#).

The Consumer-Facing Organisation version is for businesses selling directly to final consumers. This version of the Standard can be applied to restaurants, caterers and retailers with fresh fish counters.

Businesses with one or multiple sites are eligible for Consumer-Facing Organisation certification if:

- All sites are under the control of the same management system, which is maintained by your company's head office.
- Your head office has an ownership or franchise relationship with each site, or a temporary right to manage all sites and staff.
- Your head office has oversight of seafood purchases, ensuring all sites can only order certified seafood from certified suppliers.

Businesses that process and repack product are eligible for Consumer-Facing Organisation certification if:

- Processing and repacking are done exclusively for your company.
- Contract processors or repackers are independently certified and have their own Chain of Custody certificate.

An example of a business eligible for Consumer-Facing Organisation Chain of Custody certification:

- A catering company provides foodservice on trains. The company's head office purchases certified sustainable tuna from a certified supplier. The company owns and runs a packing site, which only packs for facilities they provide foodservices to.

Businesses not eligible for Chain of Custody certification:

- A company that has been convicted of a forced or child labour violation in the last two years or otherwise cannot meet MSC Labour Eligibility Requirements.
- A company whose certificate was withdrawn for a breach of chain of custody in the last two years.
- A company whose certificate was suspended in the last six months.
- Enhanced fishery or farm operations that are out of scope of the MSC Fisheries Standard or the ASC Farm Standard.

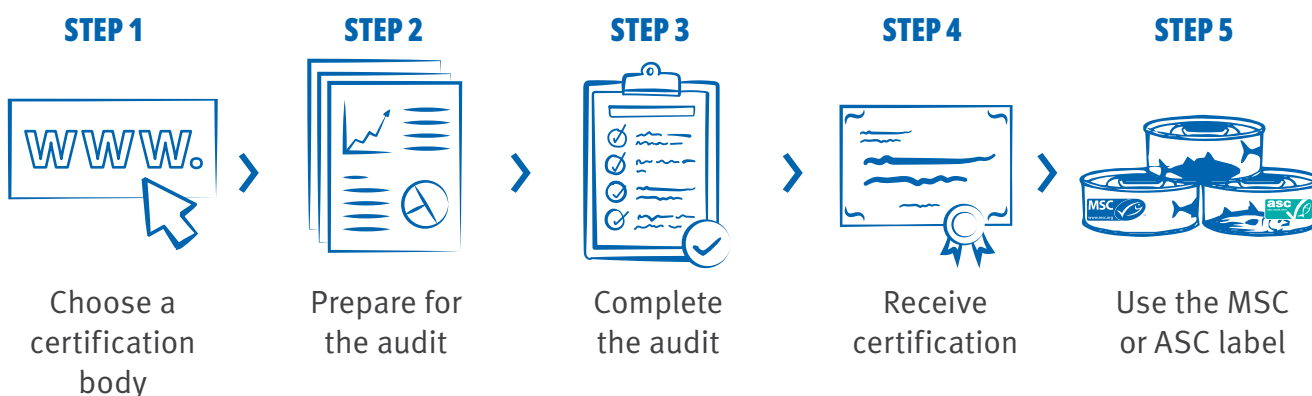
When Chain of Custody certification is not needed:

- If your company buys pre-packed, labelled certified products that will be sold to the end consumer without being opened, re-packed or re-labelled. Such items are known as consumer-ready tamper-proof products. Retail packages of frozen fish fingers or tins of smoked mackerel fillets are examples of consumer-ready tamper-proof products.
- Your company buys certified products but does not wish to sell these on as certified. In this case the chain of custody is broken and your customers may not make any claims about the product being certified.
- Your company does not take legal ownership of certified seafood. This may be the case if your company provides contracted services for certified companies and therefore can be covered by your customer's Chain of Custody certificate as a subcontractor.

The certification process

The Marine Stewardship Council (MSC) and the Aquaculture Stewardship Council (ASC) have partnered to share the Chain of Custody Standard. This means you can have one certification audit that covers both MSC and ASC products. ASC Chain of Custody certificate holders must also comply with further requirements outlined in the additional [ASC Chain of Custody Module V1.3](#).

There are five steps to Chain of Custody certification:



The Chain of Custody certification process, from application to the awarding of a certificate, is managed by an independent and accredited certification body. The certification body will appoint an auditor who will become your most frequent contact throughout the certification process.

If you already have audits for other programs (for example, programs recognised by the Global Food Safety Initiative), it is worth checking with your certification body to see if they can introduce the Chain of Custody audits into your existing auditing schedule.

GOOD TO KNOW

Preparation and good project management are key to ensuring a smooth, timely and cost-effective certification. It is important to designate a member of staff or a project manager who will take responsibility for managing the certification process. This Chain of Custody contact person will liaise with the certification body and should familiarise themselves with this guide and the [Chain of Custody Standard](#).



STEP 1 Choosing a certification body

The role of a certification body is to provide an independent, third-party evaluation of your company's chain of custody procedures and to appoint an auditor.

The first step is to choose a certification body that operates where your company is based. All certification bodies are approved by [an independent assurance provider](#).

We recommend that you approach more than one certification body for a quote. The total cost for full certification service is likely to include travel costs, audit fees and possibly other charges. Make sure you get a long-term picture of the cost structure. The MSC and ASC cannot influence the cost of certification, nor does the MSC or ASC receive any of this payment.

To quote on your certification, certification bodies may ask:

- If your company meets the eligibility criteria for the Consumer-Facing Organisation version of the Standard.
- Details about your company's internal systems and processes to determine your risk level.
- Which seafood species you want to buy and sell as certified.
- What activities you perform with the certified seafood (for example packing, processing or trading).
- If you have any certified suppliers.
- Where your company is based and how many sites it has.
- If your company has more than one operating site, (e.g. a storage warehouse or central kitchen) and if any sites sell or serve to the final consumer.
- If you intend to use subcontractors to process, pack, transport or store certified seafood.

Once you accept a quote, the certification body will send you a contract. Your certification body will register your company on the MSC Chain of Custody scheme database to create a unique MSC Chain of Custody Code to be used upon certification.



STEP 2 Preparing for the audit

The audit can be simple and straightforward if you have a clear plan of what needs to be done, by whom, and by when.

Training staff

It is your company's responsibility to ensure that all responsible personnel understand their role in maintaining chain of custody and product integrity, as required by Principle 5 in the Chain of Custody Standard. The Standard defines responsible personnel as those who ensure the organisation's conformity with chain of custody and make decisions or carry out procedures that can affect the integrity of certified seafood.

Your company may be able to incorporate specific Standard training into an existing staff training program.

The MSC has produced three training videos that can be used by companies to help train their staff on Chain of Custody certification while working in fish counters or in food service: please visit the [MSC Training Platform](#)

Site list

Your site list shows the sites you want to include in your certificate, and details:

- a designated contact
- a site address
- if it is an operations or consumer-facing site (or both).

Your certification body will request this site list before the initial audit, so they can calculate the number of sites to visit.

Pre-audit checklist

Use the [MSC pre-audit checklist](#) to determine how much of the Standard your company currently meets without having to make any changes, and where you need to adjust your operations. For ASC certificates, you can also use the [ASC Chain of Custody Module supplementary checklist](#) for supply chain companies.

If you need to introduce new procedures and systems to meet the Standard make sure these are working before your audit. Even if no changes were made, it's a good idea to conduct a practical, on-site check before scheduling an audit.

Labour Eligibility Requirements

The certification body will check whether any sites covered in the potential certificate have been convicted for forced or child labour violations. If activities such as packing, processing or manual offloading are included, the auditor may also check for a completed self-assessment of labour practices prior to the audit. Please see page 22 of this guide for more details on how to comply with the MSC Labour Eligibility Requirements.

Scheduling your audit

When scheduling a date for the audit, bear in mind it may require booking in advance, depending on the auditor's availability (see page 19 of this guide for more information regarding scheduling). Also remember to request your preferred language for the audit.

Audits occur annually with due dates calculated from the original certification date. This date will remain the same for the length of a certificate holder's continuous participation in the MSC program (see page 17 of this guide for more information).



STEP 3 The audit

The aim of all Chain of Custody audits is to check whether your company meets (or continues to meet) the Standard.

The auditor will be looking for evidence that demonstrates the effectiveness and durability of the procedures, systems and training your company has in place to fulfil the requirements of the Standard. The auditor will ask to review documents or records, interview staff and see your process in action.

Audit location

All central office audits and site visits will take place at the premises.

If your company operates out of more than one site and intends to have multiple sites under the same certificate, the auditor will visit the central office, all operation sites that are processing and/or packing, and a sample of warehouse and consumer-facing sites. The auditor will calculate the number of sites to audit as required by the Standard.

Audit duration

Factors determining the duration include the complexity of your operations, your activities related to certified seafood and the number of species you intend to buy and sell as certified.

The duration of the central office audit and site visits will vary depending on whether your company is scored as standard risk or low risk by the certification body.

GOOD TO KNOW

It's common for companies to have not bought any certified seafood by their initial audit. In this case, the auditor looks at similar products to evaluate the measures you have in place to identify, segregate and trace seafood.

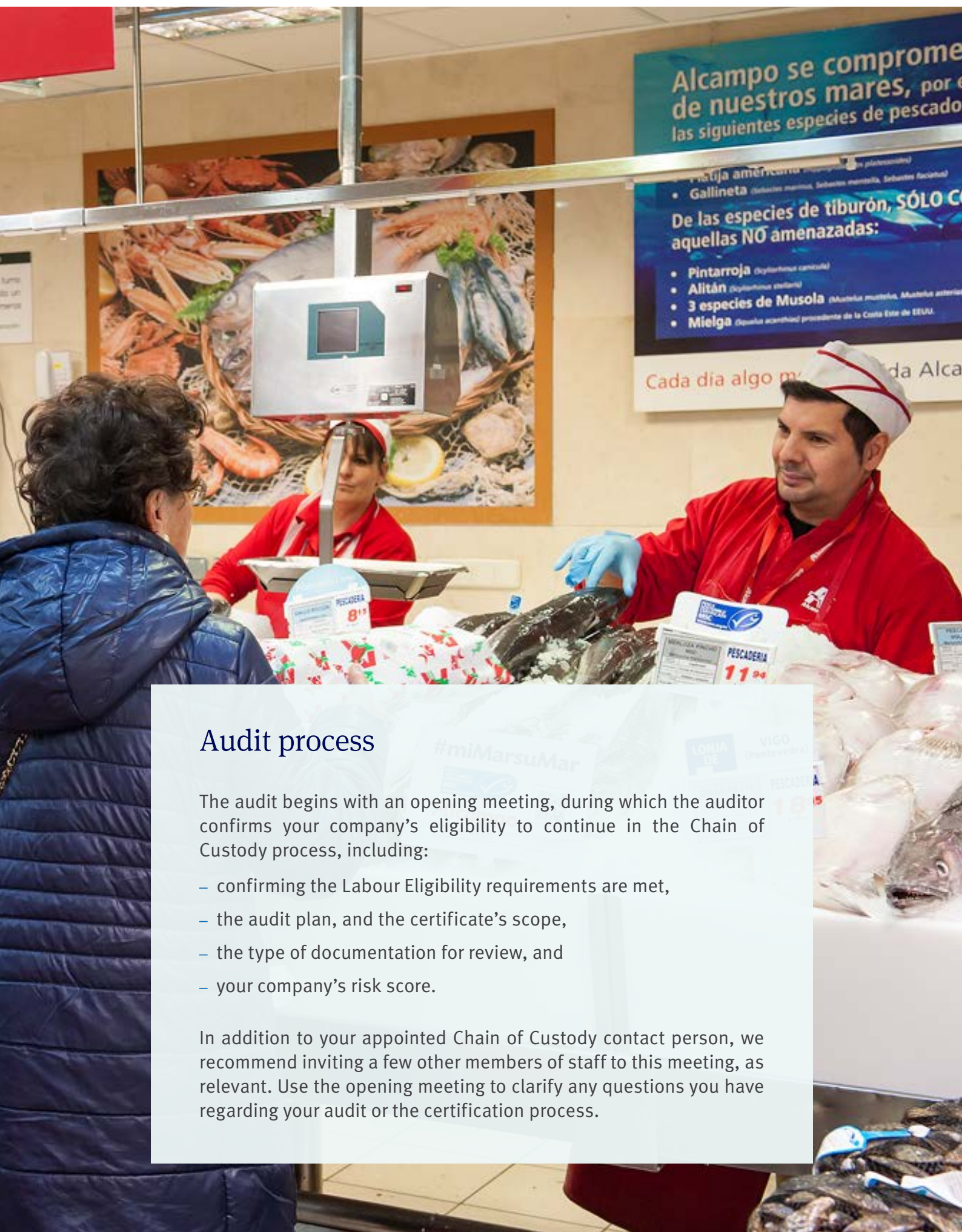
Risk score

Your certification body will assign your company a risk score during the audit planning stage, which is based on the number of sites, the number of certified species and other factors.

The risk scoring affects three auditing elements at consumer-facing sites as seen in the table below, of which the first two affect the time your auditor requires on-site.

Table 1: Risk-based auditing elements for consumer-facing sites

| Audit element | Low risk | Standard risk |
|---|---|--|
| Seafood samples collected for DNA testing | Not collected | Collected at surveillance and recertification audits (not at initial audits) |
| Number of traceability tests conducted (from the point of sale/serving back to a certified supplier) | One traceability test per consumer-facing site visited | A minimum of two traceability tests per consumer-facing site visited |
| Percentage of site visits conducted at short notice (no more than 48 hours advance notice of which sites are going to be visited) | 10% or a minimum of 1 site if fewer than 10 are visited | 100% of sites visited (unless short notice access is impossible) |



Audit process

The audit begins with an opening meeting, during which the auditor confirms your company's eligibility to continue in the Chain of Custody process, including:

- confirming the Labour Eligibility requirements are met,
- the audit plan, and the certificate's scope,
- the type of documentation for review, and
- your company's risk score.

In addition to your appointed Chain of Custody contact person, we recommend inviting a few other members of staff to this meeting, as relevant. Use the opening meeting to clarify any questions you have regarding your audit or the certification process.

During the audit, the auditor will:

Check

- ☐ The measures taken by your company and any subcontractors to segregate, identify and prevent mixing between certified and non-certified seafood.
- ☐ The permission to use the MSC label and ASC logo by asking for proof of approved packaging designs covered by a valid licence agreement (see page 18 of this guide for more information).
- ☐ Non-certified ingredients used have been correctly calculated (where applicable).

Review

- ☐ The management system/s for each activity in scope (for example retail to consumer, storage).
- ☐ The records showing purchase, receipt and physical handling of certified species.
- ☐ The training records of responsible personnel and the training material is up-to-date.

Interview

- ☐ At least one responsible personnel at each site visited on their competency, understanding and application of the chain of custody procedures (for example the auditor may ask members of staff to explain their role to prevent mislabeling of seafood product).

Verify

- ☐ Any product served, sold or labelled as certified back to a certified purchase or delivery, including product movement through any operations site. This is called a traceability test.

Time limit

For short notice audit visit, the auditor shall notify you not more than 48 hours in advance prior to the specific visit. During the audit, the auditor will set a time limit for your company to provide records.

Audit closing meeting

At the end of the audit, the auditor will call a closing meeting to summarise their findings. They will also confirm that the scope, supplier and subcontractor list is correct and that you know when to inform the certification body of any changes to your certificate.



STEP 4 After the audit

Your auditor will send you a summary of all audit findings within 10 working days that will detail any non-conformities raised by the auditor.

Non-conformities

An auditor can raise a non-conformity when they find a deviation from any of the requirements in the Chain of Custody Standard. You will be given a specified timeframe to review the findings and decide how to address them.

| | Required actions |
|---|--|
| Minor non-conformities Where your company does not comply with the Chain of Custody Standard, but the integrity of the chain of custody is not jeopardised. | Send the certification body an effective action plan with proof of its effective implementation (i.e. corrective actions evidence) within 60 days from the closing meeting. Your company will not be certified until the non-conformity has been effectively addressed. |
| Major non-conformities Where your company does not comply with the Chain of Custody Standard, and the integrity of the chain of custody is jeopardised. | Send the certification body an effective action plan with root cause analysis, corrective actions and timeframes to address them, in order to close-out the non-conformity within 90 days of the initial audit. If this cannot be done, your company will need to have another initial audit. |

Examples of non-conformities

- If certified seafood is mislabelled.
- If your auditor finds your staff show insufficient knowledge and understanding of their role related to the Chain of Custody Standard that could compromise product integrity.

Determining certification

Your certification body must decide if they grant certification within 30 days of the audit or within 30 days of receiving evidence that leads to the closure or downgrading of a non-conformity. The certification body will send you a finalised version of the audit report within 10 days of this decision.

Where necessary, you will be asked to sign off some sections of the audit report to confirm its accuracy. Your certification body will then upload information to your unique chain of custody code in the MSC scheme database covering scope, subcontractors, suppliers, audit date, the final audit report, and your certificate.

Congratulations, you're certified!

Once certified, your status should appear as valid on the [MSC Find a Supplier directory](#) and/or [ASC Find a Supplier directory](#). You can now officially sell MSC and/or ASC certified seafood.

The MSC and ASC Find a Supplier directories are the official sources of certificate validity. Companies that do not appear with a valid Chain of Custody certificate status on these directories cannot be used as a certified supplier. The audit report and confidential information will not be displayed on the MSC and ASC Find a Supplier directories.

Understanding your Chain of Custody certificate:

- **Certificate scope:** Your certificate's scope will include the certified species handled and the activities carried out in relation to certified seafood. Scope activities are defined in a table at the end of this guide.
- **Products in scope:** Not all your seafood products need to be MSC certified to proceed with Chain of Custody certification.
- **Sites in your certificate:** Every location that is taking physical possession or legal ownership of certified product must be included in the certificate. These locations (facilities, plants, warehouses, offices) are called sites in the Standard.



STEP 5 Using the MSC and ASC trademarks to sell certified seafood

The MSC and ASC labels are effective tools to communicate sustainability to seafood consumers. Your company must sign a licence agreement if you wish to use any of the MSC's and/or ASC's trademarks on any materials that will be seen by end consumers or used by business customers for promotional purposes.

The licence agreement may be signed while your company is applying for certification or after your first audit. This enables your company to acquire high-definition files of the MSC label and/or ASC logo to use in packaging design. In addition to signing a licence agreement, your company will have to submit the packaging designs and a completed [Product Approval Form](#) for both the [MSC](#) and [ASC](#) containing information about the product you intend to sell with the MSC and/or ASC trademarks. If you are using the ASC logo for business-to-business trading, you will also need approval. You do not need approval if you use the MSC and/or ASC acronyms for internal traceability or identification training purposes.

Visit msc.org/msc-label or asc-aqua.org/business/our-label for more information





Maintaining certification

Your certificate is valid for three years. During this period, your company will receive surveillance audits. Re-certification audits take place every three years.

Surveillance audits

Surveillance audits are annual for all Consumer-Facing Organisation certificates. They can be moved forward or back by three months around the due date so that you and the auditor can schedule a date that is convenient for both parties. Due dates are calculated from the original certification date.

Number of site visits

The number of sites visited at surveillance audits may be different to the number visited at your initial audit.

There is a chance that your company will receive an unannounced audit. Each certification body must conduct unannounced audits for at least 1% of their clients. If this happens, you will not be given notice of which day the audit will occur (but you will know the six-month window before surveillance is due). An unannounced audit will replace your next scheduled surveillance audit.

Non-conformity at surveillance

During the surveillance audit, the auditor may raise a nonconformity where there is a deviation from the Standard. In this case, your company is required to take the following actions:

| Minor non-conformities | Major non-conformities |
|---|---|
| <p>Within 60 days from the closing meeting, send an effective action plan and proof that the non-conformity has effectively been addressed to the certification body. Minor non-conformities can be upgraded to major and corrected as such if not effectively or timely managed.</p> <p>If the auditor finds the same minor non-conformity at the next audit, they will raise the grading to a major non-conformity.</p> | <p>Send the certification body an effective action plan with root cause analysis, corrective actions and timeframes to address them. In order to close-out the non-conformity, the corrective actions have to be implemented within 30 days of the audit.</p> <p>If this cannot be done, your certification body may suspend your certificate.</p> <p>Importantly, any major non-conformities always trigger a follow up site visit within 90 days of the audit. This is so the auditor can check that the action plan has been effectively implemented at the site(s) where the non-conformity was found. If your company has more than six sites included in your certificate, the auditor will visit the site(s) where the non-conformity was detected, plus one other site.</p> |

Certificate suspension

The non-conformity grading system gives your company warnings and opportunities to implement improvements to avoid a certificate suspension. If there has been a breach of product integrity or the chain of custody, there is cause for immediate action.

A certificate can be suspended for any of the following reasons:

- There is a demonstrable break in the chain of custody caused by the company's actions or inactions.
- The company has sold non-certified seafood as certified (an isolated incident of accidental mislabelling or substitution found at audit may only be graded as a major non-conformity, not necessarily cause for suspension).
- The company cannot prove that seafood sold as certified is in fact certified.
- The number of major non-conformities has exceeded the threshold (ask your auditor to show how this was calculated).
- The auditor has raised a major non-conformity against the same clause during a follow up visit.
- The company has not addressed a major non-conformity within **30 days**.
- The company does not agree to surveillance or re-certification audit within the necessary timeframes.
- The MSC International (MSCI) has withdrawn a company's licence agreement and the company has not complied with MSCI instructions in the given timeframe.

Regardless of the cause, from the day of suspension product cannot be sold as certified and your company cannot make any claims regarding certification.

For a suspension to be lifted, a corrective action plan must be submitted, and is subject to acceptance by your certification body.

What to do when non-conforming products are found in your company?

Where your company finds mislabelling or non-conforming product and reports it to the certification body, the certification body will not suspend your certificate if you followed the non-conforming product procedure correctly, as this shows that you are willing to maintain product integrity. If the mislabelled or non-conforming product recurs, your company may be suspended.

GOOD TO KNOW

A certificate can become invalid at any point, if a company is found to have been successfully prosecuted for violations of laws on forced or child labour in the last two years.



Complying with requirements on labour eligibility

The MSC and ASC have introduced eligibility requirements so that MSC/ASC products are not associated with forced or child labour. This means that you may need to complete a self-assessment of sites in your certificate prior to receiving your chain of Custody audit and listing your certificate on the MSC or ASC website.



If any part of your company has been convicted of a forced or child labour violation in the last two years, you will not be eligible for certification.

Additionally, if your potential certificate covers certain activities, you will need to complete an [MSC Chain of Custody Labour Self-Assessment](#) form and sign an agreement to undergo an MSC-commissioned labour audit if asked.

The self-assessment details the policies, practices and measures in place to prevent or reduce the risk of forced and child labour and covers all applicable sites and subcontractors within the certificate scope. You are required to provide the necessary information to the certification body showcasing the policies, measures, and practices in place to mitigate forced and child labour.

Alternatively you can provide evidence of having completed an on-site labour audit that complies with the MSC third-party labour audit requirements. A self-assessment or labour audit is required for any applicant or certificate holder with one or more of the following activities in the scope of their Chain of Custody Certificate:

- | | |
|------------------------|-------------------------------|
| – Contract Processing | – Secondary Processing |
| – Manual off-loading | – Processing - preservation |
| – Packing or repacking | – Other Processing activities |
| – Primary Processing | – Use of subcontractors |

On-site labour audit requirements:

- The labour audits should cover all applicable sites and subcontractors within the certificate scope.
- If sites in your certificate participate in a recognised labour audit program, completing the self-assessment is optional for those sites.

| Labour audit programs recognised by the MSC | Within these programs, you will have to meet these performance criteria |
|--|---|
| Amfori Business Social Compliance Initiative | Complete amfori audits and have no Zero Tolerance issues |
| SEDEX SMETA | Complete and an annual audit and have no Business Critical Issues |
| Social Accountability International's SA8000 | Hold a valid SA8000 certificate |
| BRCGS Ethical Trading and Responsible Sourcing Standard (ETRS) | Hold a valid BRCGS certificate |
| SSCI recognised program (manufacturing and processing) | Hold a valid certificate of the recognised program |

Zero Tolerance and Business Critical issues are serious labour issues that require immediate action. This may include a breach of local law which presents a critical breach of workers' human rights or a flagrant human rights violation. You can find out more about the [amfori BSCI Zero Tolerance Protocol](#) and the [SEDEX-SMETA Non-Compliance Guidance](#) on their websites.

You will need to follow the audit schedule set by the labour audit program you choose. The audit might be every six months, year or two years. Regardless of whether the recognised third-party audit program allows audits every two years, the minimum audit frequency to meet MSC performance criteria is annual.

For more information on the self-assessment and third-party labour audit requirements, please refer to the [MSC Labour Eligibility Requirements v1.0](#).

Why did the MSC choose these labour audit programs?

The MSC chose to recognise these programs because they are:

- globally recognised
- commonly used in the seafood industry.

How will you demonstrate to your Chain of Custody auditor that you comply with the relevant programs (when applicable)?

To show that you comply with the relevant labour audit programs, you may be asked to provide a certificate to your auditor. You may also need to log onto the program's platform or portal.

Social compliance audits and access to this platform may be the responsibility of another individual at your company. If this is the case, you need to make sure that person is available on the day of Chain of Custody audit to show the labour audit findings.

What happens if you do not comply?

If you opt for a labour audit, and at any point you do not meet the labour performance criteria in the Labour Eligibility Requirements (for example a Zero Tolerance Issue is found during your amfori BSCI audit), then you must inform your certification body within two working days. You will then have 30 days to address the issue to maintain your Chain of Custody certificate.

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Staying in touch with your certification body

Although most communication with your certification body will relate to your audit, there are times you need to contact them outside of your audit schedule.

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Informing your certification body about changes

You will need to inform your certification body if you:

- Find non-conforming product (within 2 days).
- Receive a new certified species (within 10 days).
- Receive certified product from a new supplier (within 10 days).
- Add more than or equal to 25% of new sites since your previous audit (within 10 days).
- Appoint new staff as your Chain of Custody contact person (within 10 days).
- Failed to meet the performance criteria in the the Labour Eligibility Requirements (for example a Zero Tolerance issue is found during your amfori BSCI audit) (within 2 days).
- Change certification body.
- Wish to complain about your auditor or certification body.

Request written approval from your certification body if you plan to:

- Undertake a new activity that is not already in your certificate's scope.
- Use a new subcontractor for processing or packing certified seafood.
- Buy product certified to a program that shares the Chain of Custody Standard (e.g. ASC).
- Add new processing/packing sites.
- Add new sites that operate in a new country.

Scope activity definitions: Your company's activities will be classified in your Chain of Custody certificate. The most common activities and definitions are listed below:

Trading fish (buying/selling)

This is likely applicable to all companies, except for subcontractors that do not take legal ownership of certified product.

Storage

Companies that hold certified product in a storage area.

Transportation

Companies responsible for the transport of certified product they legally own.

Distribution

Companies that receive sealed containers, pallets, etc. of certified product that they do not legally own, that may or may not be broken down into smaller units and deliver them to customers or other members of their group.

Wholesale

Companies that receive sealed containers, pallets, etc. that they legally own and sell to customers or other members of their group.

Packing/repacking

When the packaging is changed but the product remains the same.

Processing

Includes primary or secondary processing, value added processing, fish preparation or any other activity where the product is changed (except for 'retail to consumer' and 'restaurant/take away to consumer').

Contract processing

Any certificate holder that carries out processing on behalf of the legal product owner (does not legally own the seafood).

Use of subcontractor

Any certificate holder that uses a subcontractor (certified or non-certified) to process, repack or transform certified product on their behalf.

Retail to consumer

Where the product is purchased, taken away and prepared by the consumer. This includes fish counters at retailers, fish mongers, and markets selling directly to consumers.

Restaurant/take away to consumer

Any foodservice situation where the product is prepared on-site and sold directly to consumers.

The Chain of Custody Standards

| | Default Version | Consumer-Facing Organisation Version | Group Version |
|--|---|---|---|
| Who is it for? | For single or multi-site organisations trading certified seafood, such as a trader operating from one office or a processor with several factory locations. | For retailers, restaurants, caterers and fresh fish counters of any size looking to sell certified seafood directly to final consumers. | For organisations with a central office and many locations distributing, processing or trading certified seafood such as co-operatives, franchises and vertically-integrated companies. |
| Record keeping | Records must be kept for 3 years or longer if the certified product's shelf life is longer. | Records must be kept for 18 months. | Records must be kept for 3 years or longer if the certified product's shelf life is longer. The central office/group manager must keep an up-to-date site register. |
| Audit frequency | All sites have a regular audit. Audits can be onsite or remote at a frequency of 12 or 18 months, depending on risk level of business. | The central office, operations sites and a sample of consumer-facing sites are audited onsite annually. Depending on the CFO's risk level, a certain percentage of sites will be audited at short notice (48 hours). | The central office/group management and a sample of sites are audited onsite annually. The sample size depends on the risk level of the group. |
| Non-conformities at audits and suspension rules | All sites can have non-conformities raised against them. All sites can be suspended from the certificate separately. | Non-conformities are raised against the central office. Suspension affects the entire CFO certificate. In case of non-certified product being sold as certified as a one-off human error at a site, a major non-conformity is raised rather than an immediate suspension. | Non-conformities can be raised against the individual sites and/or the central office/group management. Suspensions can be specific to a site, strata or the entire group. |
| Internal audits and reviews | No internal auditing requirements. | Optional internal audits. | Mandatory internal audits and reviews. |
| Training | All responsible staff must be trained. | Strict training requirements for all responsible staff. Training records must be kept. | All responsible staff must be trained. Training records must be kept. |

Get in touch:

Marine Stewardship Council (MSC)

msc.org/commercial-contacts
info@msc.org



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