

14<sup>th</sup> January 2013

## MSC Audit Personnel Code of Conduct

All fishery assessment team leaders, team members, CAB lead auditors, CoC auditors and group CoC auditors have an obligation to maintain the integrity of the MSC Program by observing the following code of conduct.

### 1. Fundamental Principles

MSC audit personnel will:

- 1.1 Act professionally, accurately, honestly and impartially at all times.
- 1.2 Observe the law and make any disclosures expected by the law.

### 2. Independence And Objectivity

- 2.1 Not participate in any activity or relationship that may impair or be perceived to impair their unbiased judgement on auditing / assessment issues.
- 2.2 Disclose any potential conflicts of interest with the organisation to be audited / assessed before undertaking any audit / assessment function in respect of that organisation.
- 2.3 Not accept any gift or inducement which could influence, compromise or threaten the ability of the auditor / assessor to act and be seen to be acting independently.

### 3. Confidentiality

- 3.1 Take all reasonable steps to ensure the confidentiality of:
  - a) The information received during the audit / assessment and
  - b) The audit / assessment results.

### 4. Professionalism

- 4.1 Commit to honest, thorough and straightforward communication in the performance of audit / assessment activities.
- 4.2 Be consistent and accurate in their evaluations of information received during the audit / assessment.
- 4.3 Strive to be complete in their audit / assessment activities and avoid any omissions
- 4.4 Separate fact from opinion clearly and concisely in their audit / assessment reports.
- 4.5 Carry out the audit / assessment for the client in a conscientious, diligent, respectful and efficient manner.

I agree to comply with the conditions outlined in this Code of Conduct for the duration of my work on MSC Chain of Custody audits and/or MSC fishery assessments.

**Name:**

**Signature:**

**Date:**